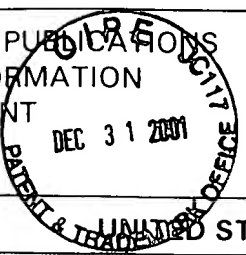


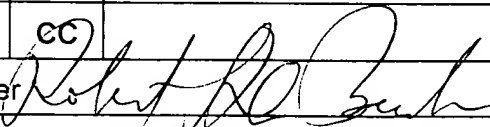
<b>FORM PTO-1449</b> (Modified)			Docket No.: H0001668		Serial No.: 09/923,713	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			Applicant: McConnell, et al. Title: Automatic Mode Transitions for Microturbine Generating Systems			
			Filing Date: 8/7/01		Art Unit: 2838	



UNITED STATES PATENT DOCUMENTS							
*Exr's Inits	Ref.	Patent No.	Date	Name	Class	Sub Class	Filing Date (if applicable)
[Signature]	AA	4029951	6/14/77	Berry, et al.	—	—	
[Signature]	AB	4031407	6/21/77	Reed	—	—	
[Signature]	AC	4195231	3/25/80	Reed, et al.	—	—	
[Signature]	AD	4308463	12/29/81	Giras, et al.	—	—	
[Signature]	AE	4455614	6/19/84	Martz, et al.	—	—	
[Signature]	AF	4536126	8/20/85	Reuther	—	—	
[Signature]	AG	5855112	1/5/99	Bannai, et al.	—	—	
[Signature]	AH	5929538	7/27/99	O'Sullivan, et al.	—	—	
[Signature]	AI	5994872	11/30/99	Hall	—	—	
[Signature]	AJ	6031294	2/29/00	Geis, et al.	—	—	
[Signature]	AK	6055163	4/25/00	Wagner, et al.	—	—	
[Signature]	AL	6064122	5/16/00	McConnell	—	—	

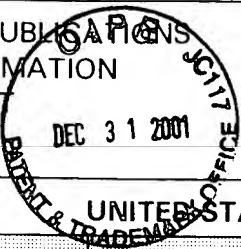
FOREIGN PATENT DOCUMENTS							
Exr's Init	Ref	Document No.	Date	Country	Class	Sub	Translation? Yes      No
[Signature]	BA	EP0472294A1	7/24/91	Europe	—	—	x
[Signature]	BB	WO97/09524	3/13/97	Japan	—	—	x
	BC						

OTHER REFERENCES (Including Author, Date, Title, Pertinent Pages, Etc.)		
Exr's Inits	Ref.	Bibliographic Data
	CA	
	CB	
	CC	

Examiner 	Date Considered <u>3/17/03</u>
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\* Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. ¶ 609.  
 Draw line through citation (i.e., ~~citation~~) if not in conformance and not considered. Include copy of this form  
 with next communication to applicant.

<b>FORM PTO-1449 (Modified)</b>				Docket No.: H0001668		Serial No.: 09/923,713	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				Applicant: McConnell, et al. Title: Automatic Mode Transitions for Microturbine Generating Systems			
				Filing Date: 8/7/01		Art Unit: 2838	



**UNITED STATES PATENT DOCUMENTS**

*Exr's. Inits.	Ref.	Patent No.	Date	Name	Class	Sub Class	Filing Date (if applicable)
<i>AL</i>	AL	6072302	6/6/00	Underwood, et al.	—	—	
<i>AM</i>	AM	6093975	7/25/00	Peticolas	—	—	
<i>AN</i>	AN	6118238	9/12/00	Munro, et al.	—	—	
<i>AO</i>	AO	6128204	10/3/00	Munro, et al.	—	—	
<i>AP</i>	AP	6147414	11/14/00	McConnell, et al.	—	—	
<i>AQ</i>	AQ	6169334	1/2/01	Edelman			
	AR						
	AS						
	AT						
	AU						
	AV						

**FOREIGN PATENT DOCUMENTS**

Exr's. Init.	Ref	Document No.	Date	Country	Class	Sub	Translation ? Yes No	
	BD							
	BE							
	BF							

**OTHER REFERENCES (Including Author, Date, Title, Pertinent Pages, Etc.)**

Exr's. Inits.	Ref.	Bibliographic Data
	CE	
	CF	
	CG	
	CH	

Examiner <i>[Signature]</i>	Date Considered <i>3/17/03</i>
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\* Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation (i.e., ~~citation~~) if not in conformance and not considered. Include copy of this form with next communication to applicant.